



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/380,563	09/03/1999	MAKOTO SHOZAKAI	04208.0077	2773
22852	7590	06/17/2005	EXAMINER	
FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413			ARMSTRONG, ANGELA A	
			ART UNIT	PAPER NUMBER
			2654	

DATE MAILED: 06/17/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

**Application No.**

09/380,563

**Applicant(s)**

SHOZAKAI

**Examiner**

Angela A. Armstrong

**Art Unit**

2654

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 12/23/04.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-12 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-12 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>04/22/05</u> . | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

1. Claims 1 and 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Furukawa et al (US Patent No. 5,463,618) in view of applicant's admitted prior art.

Regarding claims 1 and 7, Furukawa et al discloses an echo canceller with Normalized Least Mean Square algorithm and teaches

Determining a pseudo acoustic signal at col. 6, lines 14-21 which reads on "generating a pseudo acoustic echo signal"

Providing for holding signals at col. 6, lines 18-19, which reads on "holding the current impulse response and supplying the current impulse response"

Subtraction of the pseudo acoustic echo signal at col. 6, lines 21-26 and col. 1, lines 10-31, which reads on "an elimination step for subtracting said pseudo acoustic echo signal from a near-end speech signal"

Sampling the input signal at 8 kHz at col. 5, lines 56-57, which reads on "an update step"

Furukawa does not specifically disclose using time domain and frequency domain information of the acoustic echo-canceled signal for checking whether or not voice is included in the microphone input signal, wherein the microphone input signal comprises background noise. However, checking, in each frame, whether or not voice is included in an acoustic signal, by

Art Unit: 2654

using time domain and frequency domain information of an acoustic signal, wherein the microphone input signal comprises background noise is known in the art, as indicated by applicant's admitted prior art at page 20, lines 16-24 of the specification.

Therefore, it would have been obvious to one of ordinary skill at the time of the invention to modify the system of Furukawa et al, to implement checking, in each frame, whether or not voice is included in the echo cancelled signal of Furukawa, by using time domain and frequency domain information, for the purpose of improving signal quality in a noisy environment.

Additionally, Furukawa teaches suspending or continuing adaptation so as to control or renew filter coefficients of the adaptive filter, which reads on "...storing in said storage step the current impulse response...retrieving one of the stored impulse responses stored..."

2. Claims 2 and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Furukawa et al in view of applicant's admitted prior art, as applied to claims 1 and 7 above, and further in view of Schalk (US Patent No. 5,475,791).

Regarding claims 2 and 8, Furukawa et al and applicant's admitted prior art teach everything as claimed in claims 1 and 7. Neither Furukawa et al nor applicant's admitted prior art specifically teach that the echo-cancelled signal is used for speech recognition.

In a similar field of endeavor, Schalk teaches a method for recognizing a spoken word in the presence of interfering speech in a voice processing system for use in conjunction with telephone networks, which uses an echo canceled signal to perform the speech recognition (Abstract), for the purpose of providing an enhanced technique for use in a voice processing

Art Unit: 2654

application wherein a caller's speech occurring during outgoing voice messaging can be recognized and used by the voice processing system.

Therefore, it would have been obvious to one of ordinary skill at the time of the invention to modify the system of Furukawa et al and applicant's admitted prior art for implementation of an echo canceled signal in a speech recognition system, as taught by Schalk, for the purpose of providing an enhanced technique for use in a voice processing application wherein a mobile caller's speech occurring during outgoing voice messaging can be recognized and used by the voice processing system.

3. Claims 3 and 9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Furukawa et al, applicant's admitted prior art, and Schalk, as applied to claims 2 and 8 above, and further in view of Flores et al, ("Continuous Speech Recognition in Noise Using Spectral Subtraction and HMM Adaptation", 1994).

Regarding claims 3 and 9, Furukawa et al, applicant's admitted prior art and Schalk teach everything as claimed in claims 2 and 8. Neither Furukawa et al, applicant's admitted prior, nor Schalk specifically teach determining a spectrum mean and subtracting the spectrum mean from the spectrum.

Flores et al teach a scheme for robust speech recognition in which a continuous spectral subtraction (CSS) scheme is implemented to enhance a received speech signal. The CSS scheme receives noisy speech, performs a Fourier transform, determines a spectrum average and subtracts the spectrum average from the spectrum (page 409, Figure 1).

Art Unit: 2654

Therefore, it would have been obvious to one of ordinary skill at the time of the invention to modify the system of Furukawa et al, applicant's admitted prior art, and Schalk to implement a continuous spectral subtraction scheme for a speech recognition system, as taught by Flores et al, for the purpose of providing signal enhancement of the received signal to be used in the speech recognizer.

4. Claims 4-5 and 10-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Furukawa et al, applicant's admitted prior art, and Schalk, as applied to claims 2 and 8 above, and further in view of Rahim et al ("Signal Conditioning Techniques for Robust Speech Recognition", 1996), Flores et al, ("Continuous Speech Recognition in Noise Using Spectral Subtraction and HMM Adaptation", 1994) and well known prior art.

Regarding claims 4-5 and 10-11, Furukawa et al, applicant's admitted prior, nor Schalk specifically teach determining a spectrum mean and subtracting the spectrum mean from the spectrum.

Flores et al teach a scheme for robust speech recognition in which a continuous spectral subtraction (CSS) scheme is implemented to enhance a received speech signal. The CSS scheme receives noisy speech, performs a Fourier transform, determines a spectrum average and subtracts the spectrum average from the spectrum (page 409, Figure 1).

Therefore, it would have been obvious to one of ordinary skill at the time of the invention to modify the system of Furukawa et al, applicant's admitted prior art, and Schalk to implement a continuous spectral subtraction scheme for a speech recognition system, as taught by Flores et al, for the purpose of providing signal enhancement of the received signal to be used in the speech recognizer.

Furukawa do not teach cepstral mean subtraction. Refer to Rahim et al, who teach a speech recognition system with signal enhancement which implements a cepstral mean subtraction algorithm via determining the cepstrum, calculating the average cepstrum and subtracting the average cepstrum from the cepstrum. Rahim et al teach that cepstral mean subtraction is widely used in speech recognition (page 107, col. 1, section II) and that it improves the robustness in speech recognition by minimizing distortion on the input signal to the recognizer (page 107, col. 1, section I).

Therefore, it would have been obvious to one of ordinary skill at the time of invention to modify the system of Furukawa et al, and implement a cepstral mean subtraction algorithm as taught by Rahim et al, for the purpose of improving the robustness in the speech recognizer, as suggested by Rahim et al.

Rahim et al do not specifically teach that the cepstrum is obtained by performing a Fourier transform on the spectrum. However, it is well known in the art of speech signal processing to perform a Fourier transform on the logarithm of a spectrum to obtain a cepstrum.

Therefore, it would have been obvious to one of ordinary skill at the time of the invention to modify the system of Rahim et al to perform a Fourier transform on a spectrum in order to obtain the cepstrum, as is well known in the art, for the purpose of efficiently canceling multiplicative distortions.

Rahim et al do not specifically teach implementing cepstral mean subtraction (CMS) on a non-speech cepstrum or correcting for lump multiplicative distortions. However, providing for estimates of non speech (or noises) in the implementation of a subtraction scheme for noise suppression and to implement such determinations in order to correct for multiplicative

Art Unit: 2654

distortions, was well known in the art, as indicated by applicant's admitted prior art beginning at page 44, line 22 of the specification.

Therefore, it would have been obvious to one of ordinary skill at the time of invention to use a CMS algorithm on a speech cepstrum and a non-speech cepstrum to provide an accurate estimate of other sounds or noise, so as to provide more efficient signal enhancement of the input signal to the speech recognizer.

5. Claims 6 and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rahim et al, ("Signal Conditioning Techniques for Robust Speech Recognition", 1996) in view of well known prior art.

Regarding claims 6 and 12, Rahim et al teach determining the cepstrum, calculating the average cepstrum and subtracting the average cepstrum from the cepstrum. Rahim et al do not specifically teach that the cepstrum is obtained by performing a Fourier transform on the spectrum. However, it is well known in the art of speech signal processing to perform a Fourier transform on the logarithm of a spectrum to obtain a cepstrum.

Therefore, it would have been obvious to one of ordinary skill at the time of the invention to modify the system of Rahim et al to perform a Fourier transform on a spectrum in order to obtain the cepstrum, as is well known in the art, for the purpose of efficiently canceling multiplicative distortions.

Rahim et al do not specifically teach implementing cepstral mean subtraction (CMS) on a non-speech cepstrum or correcting for lump multiplicative distortions. However, providing for estimates of non speech (or noises) in the implementation of a subtraction scheme for noise



Art Unit: 2654

suppression and to implement such determinations in order to correct for multiplicative distortions, was well known in the art, as indicated by applicant's admitted prior art beginning at page 44, line 22 of the specification.

Therefore, it would have been obvious to one of ordinary skill at the time of invention to use a CMS algorithm on a speech cepstrum and a non-speech cepstrum to provide an accurate estimate of other sounds or noise, so as to provide more efficient signal enhancement of the input signal to the speech recognizer.

### ***Response to Arguments***

6. Applicant's arguments with respect to claims 1-12 have been considered but are moot in view of the new ground(s) of rejection.

Applicant argues Furukawa concerns far-end speech and thus fails to teach "decision means for checking, in each frame, whether or not a voice is included in the near-end speech signal." In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986). In this instance, as indicated in the previous Office Action and the rejection above, Furukawa provides for determining double talk signals, which provides support for "near-end speech signals" and applicant's admitted prior art suggests checking, in each frame, whether or not voice is included in an acoustic signal, by using time domain and frequency domain information of an acoustic signal. Thus, the combination of Furukawa and applicant's admitted prior art would specifically provide for the

Art Unit: 2654

checking whether or not voice is included in the near-end signal (as provided by Furukawa) using both time domain and frequency domain information (as provided by applicant's admitted prior art) of Furukawa's double talk detector.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Angela A. Armstrong whose telephone number is 571-272-7598. The examiner can normally be reached on Monday-Thursday 11:30-8:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Richemond Dorvil can be reached on 571-272-7602. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Angela A Armstrong  
Examiner  
Art Unit 2654

AAA  
June 11, 2005

*Angela Armstrong*